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January 28, 2002

Assistant Commissioner for Patents  
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Washington, D.C. 20231

**Re: U.S. Patent Application Serial No. 09/849,967**  
**For: SPLICE CHOICE ANTAGONISTS AS THERAPEUTICS AGENTS**  
**Our Reference: 51230-00601**

Dear Sir:

Transmitted herewith for filing in the U.S. Patent Office are the following documents:  
(1) Transmittal Form (1 sheet); (2) Response to Notice to File Corrected Application Papers (2 sheets); (3); Notice to File Corrected Application Papers (2 sheets); (4) Substitute Drawings Depicting Figs. 1-5 (4 sheets); (5) Paper Copy of Sequencing Listing (4 sheets); and (6) Diskette Containing Sequence Listing.

Sincerely,

JOHN N. COULBY, Reg. No. 43,565  
JAMIE M. LARMANN, Reg. No. 48,623

Enclosures



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/849,967

Filing Date 05/08/2001

First Named Inventor Stuart A. Newman

Group Art Unit TBA

Examiner Name TBA

Total Number of Pages in This Submission 13

Attorney Docket Number 51230-00601

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☒ Drawing(s) (Substitute)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):Diskette containing Sequence Listing  
**Paper Copy of Sequence Listing**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

John N. Coulby/Jamie M. Larmann

43,565/48,623

Signature

Date

01/28/02

## CERTIFICATE OF MAILING

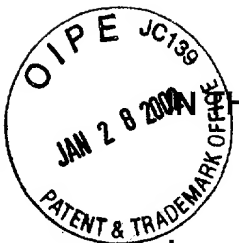
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Stuart A. Newman, et al.**  
Serial No.: **09/849,967**  
Filed: **May 8, 2001**  
For: **SPLICE CHOICE ANTAGONISTS AS  
THERAPEUTIC AGENTS**  
Attorney Docket #: **51230 00601**

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed November 28, 2001, Applicants' attorney submits the following documents in order to complete the formal requirements for the filing of the above-referenced application.

- ☒ Copy of Notice to File Corrected Application Papers;
- ☒ Substitute drawings (Figures 1-5); and
- ☒ A paper copy and a computer readable form copy of the Sequence Listing.

In response to the Notice to File Corrected Application Papers, Applicant respectfully requests consideration of the following remarks.

**REMARKS**

The Sequence Listing contains the full listing of SEQ IDs 1 through 4, which are disclosed in the application. Applicant submits that the sequence listing information

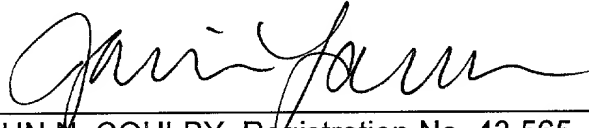
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**Application Serial No. 09/849,967**

recorded in computer readable form is identical to the written paper sequence listing  
and includes no new matter.

Respectfully submitted,

Dated: January 28, 2002



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